



MEMORANDUM – 2024-010

TO : ALL TRADING PARTICIPANTS

SUBJECT : EMPLOYEE MOVEMENT

DATE : 03 MAY 2024

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 April 2024.

For information and guidance.

(original signed)

GERARD B. SANVICTORES

President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
29-Apr-24	TIANCO	MARIKIT C.	CUSTOMER SUPPORT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO CENTRAL OPERATIONS GROUP
26-Apr-24	BATARA	JOAN MARIE T.	EQUITY RESEARCH ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
24-Apr-24	YUTUC	ELAN DIONI R.	DEVELOPMENT SUPERVISOR	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION
22-Apr-24	LIM	JANG MARIO VINCENT M.	-	ACCOUNTING ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
20-Apr-24	TAN	FLORENCE THERESE A.	SALES ASSOCIATE/ SALESMAN	-	MAYBANK SECURITIES, INC. (Formerly: MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (clearance under process)
16-Apr-24	SANTIAGO	MARIA PERPETUA AGNES C.	-	CUSTOMER SUPPORT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Apr-24	GASPAR	JENELYN S.	-	BUSINESS SYSTEM ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Apr-24	SOLAS	MIKHAEL IVAN	EQUITY RESEARCH INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION (end of contract)
16-Apr-24	FRANCISCO	EDUARDO V.	-	CHAIRMAN	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Apr-24	LUYM	VIOLETA O.	CHAIRPERSON & DIRECTOR	DIRECTOR	BDO SECURITIES CORPORATION	RE-DESIGNATION
16-Apr-24	RELLOSO	CLIFFORD P.	-	CLERK	S. J. ROXAS & CO., INC.	NEW EMPLOYMENT
16-Apr-24	DALIDA	LEONEL E.	-	SOFTWARE ENGINEER	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT

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15-Apr-24	ANDOG	GERARD RENZ R.	EQUITY SALES	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION
15-Apr-24	CHUA	KIMBERLY ROSE M.	ASSOCIATE RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
12-Apr-24	ACRICHE	MARIA TERESA M.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
12-Apr-24	YAMSUAN	LORD ANDRE JAMES N.	DIGITAL CHANNELS AND MARKETING ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
8-Apr-24	GARALDE	REYNOLD C.	-	EQUITY TRADE ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
8-Apr-24	BRAVO	ANABELLE H.	-	CUSTOMER SUPPORT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
8-Apr-24	ISAGUNDE	LEAN MARCO B.	-	OPERATIONS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
6-Apr-24	TACARDON	EDMUNDO D.	INDEPENDENT DIRECTOR	-	PREMIUM SECURITIES, INC.	RESIGNATION
6-Apr-24	TACARDON	DEANNA S.	-	INDEPENDENT DIRECTOR	PREMIUM SECURITIES, INC.	NEW EMPLOYMENT
6-Apr-24	YAP	JOHN ERICKSON C.	-	CORPORATE SECRETARY	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
5-Apr-24	NUEVO	MA GRACHELLE P.	ACCOUNTING ASSOCIATE	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION
3-Apr-24	GAERLAN	MAYLENE ADELLE A.	-	BUSINESS MGMT SUPPORT -VP (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
3-Apr-24	GUIRUELA	LOIS ANN T.	-	BUSINESS MGMT SUPPORT - ASSOCIATE (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT

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1-Apr-24	RICO	REGINA ROSARIO T.	CUSTOMER SERVICE ASSOCIATE	CLIENT EXPERIENCE SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Apr-24	MOLINA	JONALYN L.	CUSTOMER SERVICE ASSOCIATE	CLIENT EXPERIENCE SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Apr-24	SANTOS	PATRICK LEE G.	CERTIFIED EQUITY AND UITF SPECIALIST	CERTIFIED EQUITY AND FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Apr-24	MEDRANO	MARIA KRISTINA C.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
1-Apr-24	MURAKAMI	CAMILLE A.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
1-Apr-24	PERNES	JENNIFER B.	ASSOCIATE RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
1-Apr-24	VILLARUZ	MARTIN VINCENT V.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
1-Apr-24	SALCEDO	JO ANN V.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
1-Apr-24	STA. ANA	MICHELLE FAY M.	ASSOCIATE RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
1-Apr-24	FERNANDEZ	CHRISTEL ANGE V.	ASSOCIATE RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
1-Apr-24	PEÑAFLO	CHERIZ ANNE ROSE C.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
1-Apr-24	PEREGRINO	CRISTINE JOY L.	EXECUTIVE SECRETARY	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
1-Apr-24	GALGUERRA	BENSON JACOB G.	MARKETING OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO UNIBANK

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1-Apr-24	PIÑANO	INA PATRICIA R.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Apr-24	GO	DANBRYAN	-	RESEARCH ANALYST	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
1-Apr-24	MARTINEZ	EDMUND DANIEL P.	PRESIDENT	PRESIDENT/ ASSOCIATED PERSON	SEEDBOX SECURITIES, INC.	RE-DESIGNATION
1-Apr-24	ACLA	RIGOR B.	-	ACCOUNTING ASSISTANT	GOLDSTAR SECURITIES, INC.	NEW EMPLOYMENT
1-Apr-24	HIYAO	PATRICIA MAE L.	-	CUSTOMER SERVICE STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
1-Apr-24	PIOQUINTO	REZELL MAE P.	-	HR STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
1-Apr-24	BUENA	JEANETTE C.	-	ASSOCIATED PERSON	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
1-Apr-24	MENDOZA	JENNELAE B.	SETTLEMENT CLERK	-	S. J. ROXAS & CO., INC.	RESIGNATION (cleared)
1-Apr-24	LIM	HERCHEL IDA D.	ACCOUNTING ASSISTANT	SETTLEMENT PERSONNEL	S. J. ROXAS & CO., INC.	RE-DESIGNATION
1-Apr-24	REYES	YOLANDA S.	TREASURER	-	UPCC SECURITIES CORPORATION	RESIGNATION
1-Apr-24	DUQUE	CESAR T.	-	TREASURER	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
1-Apr-24	ESTRELLA	JUAN PAOLO	MANAGEMENT TRAINEE (MARKETING)	MARKETING OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Apr-24	PADIT	FRANCIS PAUL	MANAGEMENT TRAINEE (RESEARCH)	RESEARCH ANALYST	BPI SECURITIES CORPORATION	RE-DESIGNATION

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1-Apr-24	MISA	MARIA NAOMI A.	-	VICE PRESIDENT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
1-Apr-24	CABRAL	OLIVIA MARIE B.	ACCOUNTING ASSISTANT	-	PAPA SECURITIES CORPORATION	RESIGNATION (cleared)
31-Mar-24	FAUSTO	RALPH JONATHAN B.	RESEARCH ANALYST	-	BDO SECURITIES CORPORATION	RESIGNATION
31-Mar-24	LORICA	ABIGAIL C.	ASSOCIATED PERSON	-	UPCC SECURITIES CORPORATION	RESIGNATION
31-Mar-24	LEGARDA	MANUEL LUIS C.	DIRECTOR - HEAD OF DOMESTIC SALES	SALESMAN/ AGENT	REGIS PARTNERS, INC.	RE-DESIGNATION
31-Mar-24	NUNGA	ZABRINA D.	CORPORATE SECRETARY	-	DA MARKET SECURITIES, INC.	RESIGNATION (no clearance required)
29-Mar-24	LIM	FRANCES ANNE Y.	ASSOCIATE - DEALING	-	REGIS PARTNERS, INC.	RESIGNATION (cleared)
28-Mar-24	BOCO	DIANA E.	OPERATIONS ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
23-Mar-24	MANDI	PATRICK JOSEPH	ACCOUNT OPENING ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION
22-Mar-24	DE JESUS	VIDA V.	-	DIRECTOR / TREASURER	IMPERIAL DE GUZMAN, ABALOS & CO., INC.	NEW EMPLOYMENT
22-Mar-24	SANTOS	GIL A.	DIRECTOR / TREASURER	-	IMPERIAL DE GUZMAN, ABALOS & CO., INC.	RETIREMENT
22-Mar-24	BENIG	MEALYN A.	RELATIONSHIP MANAGER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PRIVATE BANK
22-Mar-24	BIACORA	RACHELLE ANNE	EQUITY RESEARCH INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION (end of contract)

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20-Mar-24	OLBA	XYRELLE D.	-	ACCOUNT SERVICES OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
18-Mar-24	ARELLANO	MA. THERESA P.	-	TRADING ASSOCIATE	SB EQUITIES, INC.	NEW EMPLOYMENT
15-Mar-24	ESPIRITU	FRANCIS B.	-	BUSINESS DEVELOPMENT ASSOCIATE	LANDBANK SECURITIES, INC.	NEW EMPLOYMENT
14-Mar-24	BENGCO	ARNOLD Q.	CHAIRMAN/ NOMINEE APPLICANT	CHAIRMAN/ NOMINEE	SB EQUITIES, INC.	RE-DESIGNATION
9-Mar-24	RODRIGUEZ	RACHELLEEN A.	HEAD, RESEARCH	-	MAYBANK SECURITIES, INC. (Formerly: MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (clearance under process)
8-Mar-24	SUAREZ	HANNAH ISABELLE	BUSINESS DEVELOPMENT INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION (end of contract)
8-Mar-24	FLOREZA	TRISHA MAE	BUSINESS DEVELOPMENT INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION (end of contract)
4-Mar-24	FORMOSO	RICKY V.	-	SYSTEMS ANALYST & PROGRAMMER	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
1-Mar-24	RACE	MARK ROME	-	HEAD, INSTITUTIONAL SALES	BPI SECURITIES CORPORATION	NEW EMPLOYMENT